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DETAILED ACTION

- 1. Applicant's response filed 8/14/09 is acknowledged and has been entered.
- 2. This application contains sequence disclosures that are encompassed by the definitions for nucleotide and/or amino acid sequences set forth in 37 CFR 1.821(a)(1) and (a)(2). However, this application fails to comply with the requirements of 37 CFR 1.821 through 1.825 for the reason(s) set forth on the attached Notice To Comply With Requirements For Patent Applications Containing Nucleotide Sequence And/Or Amino Acid Sequence Disclosures. Applicant is advised that for any response to be considered fully responsive it has to be fully responsive to the sequence compliance requirements.
- 3. Applicant's election [with traverse] of the species of radio-labeling with indium-111 oxide and imaging is by radioimaging, intravenous administration, Tlymphocytes comprising CD8+ lymphocytes and tumor mucin peptide in Applicant's response filed 8/14/09 is acknowledged. Applicant's election of the linear peptide GSTAPPAHGVTSAPDTRPAP and administering lymphocytes intravenously by administering a glycoconjugate that comprises administering asialooromucoid in a telephonic interview with Applicant's representative Daniel M. Chambers on 12/7/09 is acknowledged.

Applicant's arguments in the response filed 8/14/09 on pages 2-3 are not persuasive.

There is an examination and search burden for the patentably distinct species due to their mutually exclusive characteristics, i.e., for example, the species of imaging mode and label require different search queries, the species of peptide require different sequence searches, the administering comprises administering a glycoconjugate with a different structure and would entail employing different search queries and the modes of administration are likely to raise different non-prior art issues, such as under 35 USC 112, first paragraph.

The requirement is still deemed proper and is therefore made FINAL.

Upon consideration of the prior art, examination is extended to the species of intraperitoneal administration of lymphocytes recited in instant claim 10, the species of orosomucoid recited in instant claim 14, the species of CT scan recited in claim 26 and the species recited in claims 27 and 28.

Accordingly, claims 5, 7, 8, 17 and 18 are withdrawn from further consideration by the Examiner, 37 CFR 1.142(b), as being drawn to a non-elected invention.

Claims 1-4, 6, 9-16 and 19-28 are presently being examined.

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4. The oath or declaration is defective. A new oath or declaration in compliance with 37 CFR 1.67(a) identifying this application by application number and filing date is required. See M.PEP §8 602.01 and 602.02.

The oath or declaration is defective because:

Non-dated alterations have been made to the oath or declaration, *i.e.*, to Inventor Phillip's addresses, and the filing date is incorrect. See 37 CFR 1.52(c).

- 5. The specification is objected to under 37 C.F.R. 1.821(d) for failing to disclose the SEQ ID NO for the sequences disclosed in the specification, for example, on page 14 at line 20 and on page 39 at line 8.
- Applicant is reminded to amend the first line of the specification to provide the correct relationship of the instant application to its parent applications (i.e., the instant application is a 371 of PCT/US03/32602 filed 10/10/03 which claims the benefit of U.S. 60/147,303.
- 7. Applicant and the assignee of this application are required under 37 CFR 1.105 to provide the following information that the Examiner has determined is reasonably necessary to the examination of this application.

Applicant has provided the following abstract in Applicant's IDS filed 4/19/07:

J. Immunotherapy, 2002, 25(6): S34, Phillips et al. Phillips et al lists Inventor Phillips as well as Inventor Wright as authors of the abstract.

In the said abstract, the authors teach *in vivo* administration of ¹¹¹Indium-labeled CTL preparations stimulated against tumor mucin peptide, including by intravenous or intraperitoneal administration, in order to detect the localization of the CTL preparations to tumors or metastases.

In the biological sciences, it is customary for scientists to present their work to others at meetings, in the form of a poster presentation and/or in the form of an oral presentation with audio-visual materials. As such, the poster or oral presentation presented at the meeting comprises more data than is contained in an abstract.

In order to comply with the request for information under 37 C.F.R. 1.105, Applicant is requested to provide:

- 1. A copy of a poster if there was one presented; and
- 2. A statement describing all of the data that was presented on the poster or in an oral presentation, and how that data is related to the data of the instant specification. In addition, Applicant is requested to provide the sequence of the tumor mucin peptide, the conditions under which the CTL preparations were stimulated and what the source of

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the stimulating cells was, what conditions and other substances were used when administering the stimulated/labeled CTL, and whether or not total body scans were used in imaging.

In response to this request, Applicant is also requested to furnish: a statement describing additional presentations and/or abstracts presented by Applicants at scientific meetings wherein data pertinent to the subject matter was disclosed, and the contents of such disclosures. if such disclosures in fact occurred.

Note that compliance with the above requests cannot reasonably be considered burdensome since the Inventors were either present at, or aware of, any disclosures of the instant claimed subject mater at scientific meetings and events prior to the filing of the instant application.

The fee and certification requirements of 37 CFR 1.97 are waived for those documents submitted in reply to this requirement. This waiver extends only to those documents within the scope of this requirement under 37 CFR 1.105 that are included in the Applicant's first complete communication responding to this requirement. Any supplemental replies subsequent to the first communication responding to this requirement and any information disclosures beyond the scope of this requirement under 37 CFR 1.105 are subject to the fee and certification requirements of 37 CFR 1.97.

Applicant is reminded that the reply to this requirement must be made with candor and good faith under 37 CFR 1.56. Where Applicant does not have or cannot readily obtain an item of required information, a statement that the item is unknown or cannot be readily obtained may be accepted as a complete reply to the requirement for that item.

This requirement is an attachment of the enclosed Office action. A complete reply to the enclosed Office action must include a complete reply to this requirement. The time period for reply to this requirement coincides with the time period for reply to the enclosed Office action

- 8. For the purpose of prior art rejections, the filing date of the instant claims is deemed to be the filing date of PCT/US03/32602, i.e., 10/10/03, as the parent applications do not support the claimed limitations of the instant application.
- 9. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
 - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

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 Claims 1-4, 6, 9-11, 14, 15, 16, 19-28 are rejected under 35 U.S.C. 103(a) as being unpatentable over Phillips et al (J. Immunotherapy, 2002, 25(6): S34, IDS reference) in view of Wright et al (J. Immunotherapy, 2000, 23(1): 2-10, IDS reference) and WO 98/37095 A2.

Phillips et al teach in vivo administration of ¹¹¹Indium-labeled CTL preparations stimulated against tumor mucin peptide, including by intravenous or intraperitoneal administration, in order to detect the localization of the CTL preparations to tumors or metastases. Phillips et al further teach serial CT and SPECT scans and that the radiolabeled CTL preparations localized to tumors and to areas not previously identified as tumor metastases. Phillips et al teach comparison of data from two or more separate scans and fusion of such into a single display image.

Phillips et al do not explicitly teach the sequence of the tumor mucin peptide used for stimulation, nor explicitly teach that the CTL were stimulated against SEQ ID NO: 2 recited in instant claim 19. Phillips et al do not explicitly teach the protocol used for stimulating CTL that is recited in the instant claims.

WO 98/37095 A2 teaches that the 20-mer tandem repeat unit of MUC1 is the immunogenic fragment of MUC1 tumor associated antigen (i.e., GSTAPPAHGVTSAPDTRPAP, see especially abstract and page 2 at lines 5-8).

Wright et al teach stimulating CTL in PBMC samples from humans with adenocarcinomas with Muc1 or mucin peptides and IL-2, and that the mucin epitope can bind and activate the TCR in the absence of antigen processing and MHC presentation (especially summary paragraph and paragraphs 3-4 of the introduction section).

It would have been prima facie obvious to one of ordinary skill in the art at the time the invention was made to have stimulated the CTL taught by Phillips et al as per the protocol taught by Wright et al using IL-2 and autologous PBMC and the immunogenic 20-mer tandom repeat unit of MUC 1 (i.e., GSTAPPAHGVTSAPDTRPAP) and used the stimulated CTL in the detection/imaging method taught by Phillips et al.

One of ordinary skill in the art at the time the invention was made would have been motivated to do this in order to detect and image tumors and their metastases.

Although Phillips et al do not explicitly teach that the imaging comprises a total body scan, Phillips et al teach looking at the biodistribution of the administered CTL over time. Therefore the claimed method appears to be the similar to the method of the prior art absent a showing of unobvious differences. Since the Patent Office does not have the facilities for examining and comparing the composition of the instant invention to those of the prior art, the burden is on Applicant to show an unobvious distinction

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between the method of the instant invention and that of the prior art. See <u>In re Best</u>, 562 F.2d 1252, 195 USPQ 430 (CCPA 1977).

11. Claims 12-14 are rejected under 35 U.S.C. 103(a) as being unpatentable over Phillips et al (J. Immunotherapy, 2002, 25(6): S34, IDS reference) in view of Wright et al (J. Immunotherapy, 2000, 23(1): 2-10, IDS reference) as applied to claims 1-4, 6, 9-11, 15, 16, 19-28 above, and further in view of Mukherji et al (Nucl. Med. Biol. 1988, 15(4): 419-427, IDS reference), WO 03/077864 A2 (9/25/03, IDS reference) and Ting et al (J. Immunol. 1986, 137(7): 2100-2106).

Phillips et al and Wright et al have been discussed supra, hereafter referred to as "the combined references".

The combined references do not teach wherein the administering step comprises administering a glycoconjugate such as those recited ininstant claims 12 and 13.

Mukherji et al teaches IL-2 and tumor antigen-stimulated cytotoxic lymphocytes from PBMC of metastatic cancer patients, labeling of said lymphocytes with Indium-111 and reinfusion of said lymphocytes, followed by study of biodistribution using a gamma camera at various time intervals. Mukherji et al further teach that the considerable degree of trapping of cells in the liver and spleen suggests that methods for reducing trapping by hepatosplenic cells need to be explored to maximize delivery of administered cells to appropriate tumor sites (see entire reference, especially abstract).

WO 03/077864 A2 teach that hyposialylated and desialylated proteins/glycoconjugates (also called asialoglycoconjugates) and cells which bear similar determinants are bound or trapped in the liver as a consequence of binding to the hepatic asialoglyoprotein receptors. WO 03/077864 A2 teaches that occupation of the receptor by the asialoglycoconiugate inhibits sequestration of the cells bearing similar determinants of interest in the liver and prevent infused cells from concentrating in the alveolar vasculature. WO 03/077864 A2 teaches that the glycoconjugates may be used to traffic or target cells in the body. WO 03/077864 A2 teaches that asialoglycoconiugates are able to bind to the hepatic parenchyma and Kupffer cell asialoglycoprotein receptors and keeps these receptors from binding and trapping cells bearing asialodeterminants. WO 03/077864 A2 teaches that parenteral, such as IV, administration of a glycoconjugate such as asialoorosomucoid may be used to block the hepatic asialoglycoprotein receptor and allow the cells bearing surface asialogeterminants to continue to circulate. WO 03/077864 A2 also teaches administering orosomucoid (AAG) for allowing the cell to circulate (especially Introduction, page 12 at the third full paragraph, paragraph spanning pages 12-13, claims, Figure 1).

Ting et al teach that CTL express asialo GM1 (AsGM1), i.e., express a desialylated determinant (see entire reference).

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It would have been prima facie obvious to one of ordinary skill in the art at the time the invention was made to have administered asialoorosomucoid or orosomucoid that is taught by WO 03/077864 A2 in tandem or prior to administration of the indium-111-labeled CTL in the method of the combined references.

It would have been prima facie obvious to one of ordinary skill in the art at the time the invention was made to have reduced non-specific sequestration of the infused CTL as taught by Mukherji et al in the method taught by the combined references, particularly in light of the teaching of WO 03/077864 A2 that such administration reduces sequestration of cells bearing hyposialylated and desialylated determinants and the teaching of Ting et al that the CTL express such a determinant.

12. No claim is allowed.

13. Any inquiry concerning this communication or earlier communications from the Examiner should be directed to Marianne DiBrino whose telephone number is 571-272-0842. The Examiner can normally be reached on Monday, Tuesday, Thursday and Friday.

If attempts to reach the Examiner by telephone are unsuccessful, the Examiner's supervisor, Ram Shukla, can be reached on 571-272-0735. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Marianne DiBrino, Ph.D. Patent Examiner Group 1640 Technology Center 1600

/G.R. Ewoldt/ Primary Examiner, Art Unit 1644

/Ram R. Shukla/ Supervisory Patent Examiner, Art Unit 1644